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OCT 06 2005

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FAX MESSAGE

TO: Examiner Andrew W. Johns **FAX NO.:** (571) 273-8300
USPTO

FROM: Michael J. LaBrie **FILE NO.:** 32995.2

DATE: October 6, 2005

MESSAGE:

Re: Application No. 10/631,262 CHARACTER RECOGNITION, INCLUDING METHOD AND SYSTEM FOR PROCESSING CHECKS WITH INVALIDATED MICR LINES by Charles H. Downs, Jr.

Attached are the following documents:

1. Transmittal Form PTO/SB/21;
2. Fee Transmittal authorizing payment of \$180.00 to Deposit Account No. 500449;
3. Supplemental Information Disclosure Statement (2 pages);
4. Form PTO 1449; and
5. Two cited references.

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PTO/SB/21 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031


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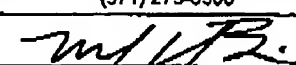
| | | |
|---|------------------------|-----------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/631,262 |
| | Filing Date | 07/31/2003 |
| | First Named Inventor | Charles H. Downs, Jr. |
| | Art Unit | 2621 |
| | Examiner Name | Andrew W. Johns |
| Total Number of Pages in This Submission | Attorney Docket Number | 32995.2 |

| ENCLOSURES (Check all that apply) | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (Supplemental) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|---|----------|--------|
| Firm Name | McAfee & Taft | | |
| Signature |  | | |
| Printed name | Michael J. LaBrie | | |
| Date | October 4, 2005 | Reg. No. | 39,507 |

CERTIFICATE OF TRANSMISSION/MAILING

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|---|---|------|-----------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: (571) 273-8300 | | | |
| Signature |  | | |
| Typed or printed name | Michael J. LaBrie | Date | October 6, 2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Atty Dkt No.: 32995.2

VIA FACSIMILE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Charles H. Downs, Jr.

Group Art Unit: 2621

Application No.: 10/631,262

Examiner: Andrew W. Johns

Filed: July 31, 2003

Confirmation No. 7693

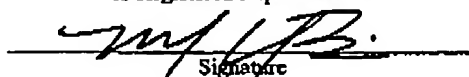
For: CHARACTER RECOGNITION,
INCLUDING METHOD AND
SYSTEM FOR PROCESSING CHECKS
WITH INVALIDATED MICR LINESSUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION OR
NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c))Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This Supplemental Information Disclosure Statement transmitted herewith is being filed after the mailing date of the first office action on the merits but before the mailing date of a final action.

The Commissioner is hereby authorized to charge Deposit Account No. 500449 in the amount of \$180.00 for the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under C.R.F. § 1.97(c). A duplicate of this sheet is enclosed. Applicant has

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office, (571) 273-8300, on the date shown below.

Michael J. LaBriename of applicant, assignee
or Registered Representative

Signature

October 6, 2005

Date of Signature

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become aware of the following references. Copies of the non-patent references and form PTO-1449 are submitted herewith.

U.S. Patent Documents

| | <u>Patent No.</u> | <u>Patentee</u> | <u>Date</u> |
|----|--------------------------|------------------------|--------------------|
| 1. | 6,661,910 B2 | Jones et al. | 12/09/2003 |

Other Publications

2. Publication entitled "BoFA Is Doubtful No-Check Plan," The American Banker, 2 pp (April 10, 1979).
3. Hans P. Graf et al., Internet Web page article entitled "ANALYSIS OF COMPLEX AND NOISY CHECK IMAGES," www.research.att.com, AT&T Bell Laboratories, 9 pp. (1995).

October 6, 2005

Respectfully submitted,



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Attorney for Applicant

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | Complete if Known | |
| | | Application Number | 10/631,262 |
| | | Filing Date | 07/31/2003 |
| | | First Named Inventor | Charles H. Downs, Jr. |
| | | Art Unit | 2621 |
| | | Examiner Name | Andrew W. Johns |
| Sheet 1 of 2 | Attorney Docket Number | 32995.2 | |

U. S. PATENT DOCUMENTS

| Examiner Initials | Cite No. | Document Number Number-Kind Code | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-------------------|----------|-------------------------------------|--------------------------------|--|---|
| | 1 | US-6,661,910 B2 | 12/09/2003 | Jones et al. | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. | Foreign Patent Document Country Code Number Kind Code | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T |
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|--|---|----|---|--------------------------|-----------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | COMPLETE IF KNOWN | |
| | | | | Application Number | 10/631,262 |
| | | | | Filing Date | 07/31/2003 |
| | | | | First Named Inventor | Charles H. Downs, Jr. |
| | | | | Group Art Unit | 2621 |
| | | | | Examiner Name | Andrew W. Johns |
| Sheet | 2 | of | 2 | Attorney Docket Number | 32995.2 |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | |
|---|-------------|---|--|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | |
| | 2 | Publication entitled "BoFA Is Doubtful No-Check Plan," <u>The American Banker</u> , 2 pages (April 10, 1979). | |
| | 3 | Hans P. Graf et al., Internet Web page article entitled "ANALYSIS OF COMPLEX AND NOISY CHECK IMAGES," <u>www.research.att.com</u> , AT&T Bell Laboratories, 9 pp. (1995). | |
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